NAESB RAI Submission and Response Timetable

Document Subsections

- A. Eastern Interconnection New Transactions
- **B.** Western Interconnection New Transactions
- C. Interchange Transaction Corrections
- D. Interchange Transaction Modifications

A. Eastern Interconnection – New Transactions

The table below represents the recommended business practices for RAI submission to the IA deadlines within the Eastern Interconnection. These are default requirements; some regulatory or provincially approved provider practices may have requirements that are more stringent. Under these instances, the more restrictive criteria shall be adhered to.

Table 1: Eastern Interconnection – Timing Requirements

| Transaction Duration | PSE Submit Deadline* | Actual RAI Submission Time | Provider Assessment Time | Time to Start of Transaction |
|---|----------------------------------|-------------------------------|--------------------------------|---------------------------------|
| Less than 24 Hours | 20 Minutes prior to start | ≤1 Hour prior to start | ≤ 10 Minutes from tag receipt | ≥ 10 Min |
| | >1 to <4 hours prior to start | ≤20 Minutes from tag receipt | ≥ 40 Min | |
| | | ≥ 4 Hours prior to start | ≤ 2 Hours from tag receipt | ≥ 2 Hours |
| 24 Hours or longer | 4 Hours prior to start | Any | ≤ 2 Hours from tag receipt | ≥ 2 Hours |
| *Start time references are for start of the TRANSACTION not the start of the ramp | | | | |

^{*}Start time references are for start of the TRANSACTION not the start of the ramp.

B. Western Interconnection – New Transactions

B. Western Interconnection – New Transactions

The tables below represent the recommended business practices for RAI submission deadlines to the IA within the Western Interconnection. These are default requirements. The tables describe the various minimum submission and assessment timing requirements.

Table 2: Western Interconnection – Timing Requirements

| Transaction Start/Submittal Time | PSE Submit Deadline | Actual RAI Submission Time* | Provider Assessment Time | Approval/Denial Notes | Time to Start of Transaction* |
|---|-----------------------------|---|--------------------------------|---|---|
| Start 00:00 next day or beyond when submitted prior to 18:00 of the current day | 15:00 day prior to start | Any | 3 hours | Passive Approval if submitted before deadline, else Passive Denial. Deferred denial | ≥ 6 Hours |
| Start 00:00 next day and submitted between 18:00 and 23:59:59 on day prior to start – OR – start within current day | | ≥ 4 Hours prior to start | 2 Hours from tag receipt | Passive Approval Deferred denial | ≥ 2 Hours |
| | | <4 Hours to ≥1 Hour prior to start | 20 minutes from tag receipt | Passive Approval Deferred denial | ≥ 40 Min |
| | | <1 hour to ≥30 minutes prior to start | 10 minutes from tag receipt | Passive Approval Deferred denial | ≥ 20 Min |
| | | <30 minutes to ≥20 minutes prior to start | 10 minutes from tag receipt | Passive Approval Deferred denial | ≥ 10 Min |
| | 20 minutes prior to start | <20 minutes prior to start | 5 minutes from tag receipt | Passive Denial. Deferred denial | Submission time minus maximum time of 5 minutes |

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B. Western Interconnection – New Transactions

Notes/Clarification:

- 1. All clock times are in PPT.
- 2. RAI falling under the criteria in yellow are deemed pre-schedule requests.
- 3. RAI falling under the criteria in green are deemed real-time requests.
- 4. Pre-schedule requests submitted between 15:00 and 18:00 will be assigned LATE composite status.
- 5. Real-time requests submitted after 20 minutes prior to the start of the Transaction will be assigned LATE composite status.

^{*}Start-time references are for start of the Transaction, not the start of the ramp.

C. Interchange Transaction Corrections

TRANSACTION Corrections may be provided by the PSE to the IA to replace non-reliability data listed in a RAI. As each correction is received, the Evaluation Time of the TRANSACTION will extend, based on the following rules:

- Each correction shall extend the evaluation time by ten minutes
- At no time can the evaluation time be extended past the start time of the TRANSACTION.
- Each correction shall reset the approval status of those entities affected by the correction
- The segment or segments corrected will be eligible for passive approval if the correction is received within the timelines specified below, except in the case where the TRANSACTION has already been set for passive denial. The segment or segments corrected will be subject to passive denial if the correction is not received within the timelines specified below. At no point may a TRANSACTION segment already under Passive Denial constraints be returned to Passive Approval eligibility.

Table 3: Correction Submission Requirements*

| Eastern Interconnection | Western Interconnection | | |
|--|---------------------------|--|--|
| 20 minutes prior to start | 30 minutes prior to start | | |
| *Start time references are for start of the Transaction not the start of the ramp. | | | |

D. Interchange Transaction Modifications

Curtailments, reloads, market-initiated modifications, and other TRANSACTION modifications that affect energy profiles must be received by and evaluated within certain times. The following tables describe the submission and evaluation requirements for such changes.

Modification requests received by the deadlines specified below shall be considered "on time," and are eligible for Passive Approval. Modification requests received past the deadlines shall be considered "late," and are considered denied unless explicitly approved by all parties.

Table 4: Eastern Interconnection – Modifications

| Modification Type | Requestor Submission Deadline*** | Actual Submission Time*** | Evaluation Time |
|--|-------------------------------------|-----------------------------------|-----------------|
| Reliability (Curtailments or Reloads) 20 minutes prior to modification start** | | Less than 30 minutes to start | 10 minutes |
| | | 30 minutes or more prior to start | 15 minutes |
| Market – Committed Transmission Reservation(s) Reductions | N/A | N/A | N/A |
| Market – Committed 20 minutes prior to modification start** | | Less than 30 minutes to start | 10 minutes |
| Reservation(s) Increases, Energy Reductions, Energy Increases* | | 30 minutes or more prior to start | 15 minutes |
| ***Start time references are for start of the Transaction not the start of the ramp. | | | |

Table 5: Western Interconnection – Modifications

| Modification Type | Requestor Submission Deadline*** | Actual Submission Time*** | Evaluation Time |
|--|--|-----------------------------------|-----------------|
| Reliability (Curtailments or Reloads) | 25 minutes prior to modification start** | Less than 30 minutes to start | 10 minutes |
| | | 30 minutes or more prior to start | 15 minutes |
| Market – Committed Transmission Reservation(s) Reductions | N/A | N/A | N/A |
| Market – Committed Transmission | 25 minutes prior to modification start** | Less than 30 minutes to start | 10 minutes |
| Reservation(s) Increases, Energy Reductions, Energy Increases* | | 30 minutes or more prior to start | 15 minutes |
| ***Start time references are for start of the Transaction not the start of the ramp. | | | |

^{*}See Special Exception for Cancellations below

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D. Interchange Transaction Modifications

**If received after deadline, requires active approval or will be passively denied

Special Exception for Cancellations

A cancellation is defined as setting both committed transmission reservation(s) and energy flow to zero for the duration of the TRANSACTION **prior** to the start of a TRANSACTION but **following** that TRANSACTIONS approval. In the event that a PSE elects to cancel a TRANSACTION, the following timelines should be utilized:

Table 6: Special Exception for Cancellations Submission and Evaluation Timing

| Region | Submission Deadline* | Evaluation Time | |
|--|---------------------------------------|---|--|
| Eastern Interconnection | 15 minutes prior to transaction start | If received by deadline, no evaluation required. Request is automatically approved. | |
| | | If not received by deadline, request is not eligible for Special Exception for Cancellations, and must be processed normally. | |
| Western Interconnection | 20 minutes prior to transaction start | If received by deadline, no evaluation required. Request is automatically approved. | |
| | | If not by deadline, request is not eligible for Special Exception for Cancellations, and must be processed normally. | |
| *Start time references are for start of the Transaction not the start of the ramp. | | | |