



North American Energy Standards Board

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Via email and posting

TO: Wholesale Electric Quadrant Members and Interested Industry Participatns
FROM: Todd Oncken, NAESB Deputy Director
RE: Request for Comments on Recommendation R03013 (Coordinate Interchange Business Practices) – due April 2, 2004
DATE: February 20, 2004

Dear NAESB WEQ Members and Interested Industry Participants,

The Coordinate Interchange Business Practice Standard recommended herein is the first of many anticipated Business Practice Standards being developed in conjunction with the transition of NERC Policies to Reliability Standards and associated Business Practice Standards. This standard represents Business Practices that are consistent with the current version of NERC Policy 3, will complement NERC compliance templates associated with Policy 3, and will ultimately facilitate the implementation of the NERC Coordinate Interchange Reliability Standard currently under development as part of the NERC Standards process. As written, the standard supports not only the existing E-TAG process, but also any future tools that may arise as a result of the implementation of the NERC Functional Model.

Comments on this standard should focus on, but not necessarily be limited to, the following:

(a) Does the standard accurately represent Business Practices inherent in NERC Policy 3? If not, how should the standard be modified to more accurately reflect the business practices in NERC Policy 3.

(b) As written, does the standard allow for the continuation of the E-Tag process? If not, how should the standard be modified?

(c) Will the standard appropriately facilitate the implementation of the NERC Functional Model?

All comments should be submitted to the NAESB office (naesb@naesb.org or 713-356-0067 – fax) by end of business on April 2, 2004. The Executive Committee is expected to take action on this recommendation at its May meeting. If you have any question or need further assistance, feel free to contact the NAESB Office at 713-356-0060.

Best Regards,

Todd Oncken, Deputy Director

cc: Rae McQuade, Executive Director



RECOMMENDATION TO NAESB EXECUTIVE COMMITTEE
For Quadrant: Wholesale Electric Quadrant

Requesters: NAESB Members of the Joint Interface
Committee
Request No.: R03013
Request Title: Coordinate Interchange Business Practices

1. RECOMMENDED ACTION:

- Accept as requested
- Accept as modified below
- Decline

EFFECT OF EC VOTE TO ACCEPT RECOMMENDED ACTION:

- Change to Existing Practice
- Status Quo

2. TYPE OF DEVELOPMENT/MAINTENANCE

Per Request:

- Initiation
- Modification
- Interpretation
- Withdrawal

- Principle Definition
- Business Practice Standard Document
- Data Element
- Code Value
- X12 Implementation Guide
- Business Process Documentation

Per Recommendation:

- Initiation
- Modification
- Interpretation
- Withdrawal

- Principle Definition
- Business Practice Standard Document
- Data Element
- Code Value
- X12 Implementation Guide
- Business Process Documentation

3. RECOMMENDATION

SUMMARY:

Accept as requested Business Practices that are consistent with the current version of NERC Policy 3, will complement NERC compliance templates associated with Policy 3, and will ultimately facilitate the implementation of the NERC Coordinate Interchange Reliability Standard currently under development as part of the NERC Standards process.



**RECOMMENDATION TO NAESB EXECUTIVE COMMITTEE
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RECOMMENDED STANDARDS:

**NAESB Coordinate Interchange Business Practice Standard
(Request For Interchange, RFI)**

Background:

In light of the continuing restructuring of the Electric industry, and FERC's rulemakings to ensure open and non-discriminatory access to the nation's transmission systems, NERC is developing Reliability Standards to replace current Operating Policies and Procedures. A request for the development of a NAESB Business Practice Standard representing the Business Practices in Policy 3 and complementing NERC's Coordinate Interchange Standard was submitted in June, 2003. This Standard was approved by the Joint Interface Committee (JIC) representatives from NERC, NAESB, and RTO/ISO and assigned to NAESB for development.

The Standard is being developed using the Functional Model as a basis for defining the "Functions" necessary for Bulk Electric System reliability but also facilitates the existing NERC Operating Policies for "Control Areas".

Introduction:

This Standard is being developed to identify market-supported processes to facilitate fair & "equitable" competitive interchange practices. This standard will provide the necessary data and arrangements to the Interchange Authority and all involved parties of the Request for Interchange (RFI) for an Interchange Transaction to take place between Sink and Source Balancing Authorities (BA). This standard is designed to implement the flow of data and approval mechanisms to facilitate Interchange. It is not intended to supercede existing tagging protocols, but rather will facilitate both existing ETAG or any replacement protocols needed to implement the functional model. It should be understood this Standard covers the front-end business arrangements and requirements for an Interchange Transaction to take place. Upon receiving all approvals from the Approval Entities, the IA will utilize appropriate NERC policies or standards to transition the Arranged Interchange to a Confirmed Interchange and finally the implementation of the Confirmed Interchange.

Definitions

RFI Standard 1.0 For the purposes of this Standard, the following definitions shall be applied:

RFI Standard 1.1 Approval Entities – Those entities responsible for providing active approvals to an Arranged Interchange.



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RFI Standard 1.2 Arranged Interchange – The state where completed and required information from the business arrangements are provided to and received by the Interchange Authority.

RFI Standard 1.3 Balancing Authority (BA) – The entity which performs the Balancing Function as defined by NERC. Until such time as the Balancing Authority becomes a certified Function under the NERC Functional Model, these duties shall be performed by the respective Control Area.

RFI Standard 1.4 Confirmed Interchange - The state where the Interchange Authority has verified the Arranged Interchange and is ready to submit it to the Balancing Authorities.

RFI Standard 1.5 Implemented Interchange- The state where the Balancing Authority enters the Confirmed Interchange into its area control error (ACE) equation.

RFI Standard 1.6 Implemented Interchange Block Accounting – Energy accounting that assumes a beginning and ending ramp time of zero minutes. For accounting purposes, this moves the energy associated with the starting and ending ramps into the adjacent starting and ending clock time of the Interchange.

RFI Standard 1.7 Interchange Authority (IA) – The entity which performs the Interchange Function as defined by NERC. Until such time as the Interchange Authority becomes a certified Function under the NERC Functional Model, these duties shall be performed by the respective Tagging Authority for the sink Control Area for the requested Interchange.

RFI Standard 1.8 Interchange Transaction – A transaction representing the delivery of energy from a generator located within a Point of Receipt (POR) BA to a load located within a Point of Delivery (POD) BA.

RFI Standard 1.9 Market Adjustment – A desired modification to the energy and/or transmission profile during the Confirmed Interchange period.

RFI Standard 1.10 Market Period – The period of time when a Requesting PSE is making purchase, sale, and Transmission service arrangements needed to support a RFI.

RFI Standard 1.11 Point of Delivery BA – The Balancing Authority responsible for monitoring and/or controlling the load identified as the sink of an Interchange Transaction.

RFI Standard 1.12 Point of Receipt BA – The Balancing Authority responsible for monitoring and/or controlling the generation identified as the source of an Interchange Transaction.



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RFI Standard 1.13 Reliability Authority- The entity which performs the Reliability Authority functions as defined by NERC. Until such time as the Reliability Authority becomes a certified Function under the NERC Functional Model, these duties shall be performed by the respective appropriate reliability entity.

RFI Standard 1.14 Reliability Period – The segment of time from when the IA has received the RFI from the requesting PSE to physical implementation (beginning of ramp time).

RFI Standard 1.15 Request For Interchange, RFI- Process of providing required data as defined in the NAESB RFI Datasheet to the IA for the purpose of implementing a bilateral Interchange Transaction.

RFI Standard 1.16 Requesting PSE – The PSE submitting the Request For Interchange (RFI). Under current policy this entity would be called the “Tag Author”.

RFI Standard 1.17 Transaction Correction – Modifications to non-reliability data of a Request For Interchange (RFI) while in the Arranged Interchange period. This non-reliability data is located in the NAESB RFI Datasheet and is labeled as “correctable”.

RFI Standard 1.18 Transmission Service Provider- Approves or denies transmission service requests from PSEs, Generator Owners, and LSEs. This entity also administers the transmission tariff and provides transmission service agreements.

Business Practices

RFI Standard 2.0 All requests to implement an Interchange Transaction shall be accomplished by the submission of a completed “Request For Interchange”, RFI, to the Interchange Authority (IA). Upon receipt of the RFI, the IA shall immediately forward the RFI to all involved parties of the RFI.

RFI Standard 2.1 All energy purchase, energy sale, and Transmission service arrangements necessary to implement the completed RFI shall be performed during the Market Period.

RFI Standard 2.2 Until such time as other protocols are established by NERC and/or NAESB, submission of the completed RFI shall be in accordance with NERC Policy 3 Appendix 3A4.

RFI Standard 3.0 While any Purchasing Selling Entity (PSE) may act as the “Requesting PSE”, it shall be the responsibility of the load serving Purchasing-Selling-Entity (PSE), or their designee, to ensure that the completed RFI has been submitted to the IA.



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RFI Standard 4.0 A completed RFI shall contain, at a minimum, the required information specified in the most current version of the **NAESB RFI Datasheet** (attached).

RFI Standard 5.0 On behalf of the Requesting PSE, the IA shall verify approvals from all involved Approval Entities (e.g. TSP-for transmission reservations, BA-for ramping start/end times and rate, RA-reliability analysis, Generator/Load PSE) prior to being confirmed and implemented in accordance with the NERC Coordinate Interchange Standard.

RFI Standard 5.1 The Requesting PSE shall submit required RFI information and data in accordance with the timing requirements of the most current version of the **NAESB RFI Submission and Response Timetable** (attached).

RFI Standard 5.2 Until such time as other protocols are established by NERC and/or NAESB, timing requirements for the submission and approval of the completed RFI shall be in accordance with NERC Policy 3 Appendix 3A1.

RFI Standard 6.0 All requests for approval/validation of the completed RFI by the IA during the Arranged Interchange Period shall be assessed in accordance with the timing requirements of the most current version of the **NAESB RFI Submission and Response Timetable**. The results of that assessment shall be promptly communicated by the IA back to all involved parties.

RFI Standard 6.1 Any denial of a RFI request by any Approval Entity shall be communicated to the IA and Requesting PSE and accompanied by the reason for such denial.

RFI Standard 7.0 The IA shall be responsible for communicating changes on the status of the RFI to all involved parties of the RFI, including BAs, IAs, RAs, counterparty PSE (Generator or Load Serving), and the TSPs, and the PSEs holding the associated transmission rights necessary to support the transaction.

RFI Standard 8.0 The primary method for submitting a RFI to the IA shall be by electronic means using protocols to be determined by NAESB.

RFI Standard 8.1 A backup or redundant electronic system shall be available for immediate use should the primary electronic means become disabled.

RFI Standard 8.2 Submitting a RFI to the IA via facsimile is acceptable only as a last resort when the electronic means and its required backup or redundant system are not available.



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RFI Standard 8.3 Until such time as NERC and/or NAESB establish replacement protocols, the preferred method of submitting data to the IA shall be the most current version of the NERC E-Tag Specifications.

RFI Standard 9.0 The PSE who created the RFI shall be allowed to submit a Transaction correction to the RFI during the Arranged Interchange Period in accordance with the **NAESB RFI Submission and Response Timetable**.

RFI Standard 9.1 Market adjustments made during the Confirmed Interchange Period by the PSE must be submitted to the IA who immediately communicates the revised request to all involved parties of the RFI. Timing of the approval assessment on the market adjustment by the Approval Entities shall be in accordance with the **NAESB RFI Submission and Response Timetable**. If denied by any Approval Entity, the original request remains valid.

RFI Standard 10.0 Each PSE submitting a RFI for an Interchange Transaction shall have, or arrange to have, personnel on site and immediately available 24 x 7 for notification of Interchange Transaction changes.

RFI Standard 10.1 These personnel shall be available from the beginning of the Market Period until the end of the Implementation Period.

RFI Standard 11.0 Energy accounting for all RFIs shall be accomplished via Implemented Interchange Block Accounting.

RFI Standard 12.0 Settlement of losses shall be either handled as financial or as payment in-kind.

RFI Standard 12.1 For losses handled as payment in-kind, the PSE shall communicate to the IA the mw losses and the entity the losses are with for each TSP along the transaction path.

RFI Standard 12.2 All bilateral transactions are equal and opposite in direction for a source and sink BA.

RFI Standard 13.0 Ramp rates shall be standard across the North American Interconnections.

RFI Standard 13.1 Ramp rate for the Eastern Interconnection shall be 10 minutes equally across the start and end times of the Transaction unless otherwise agreed to by all parties involved in the Transaction.



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RFI Standard 13.2 Ramp rate for the Western Interconnection shall be 20 minutes equally across the start and end times of the Transaction unless otherwise agreed to by all parties involved in the Transaction.

RFI Standard 14.0 For Dynamic Transfer Transactions, the requirements shall be established by NERC.

See also Attachments:

- NAESB RFI Datasheet
- NAESB RFI Submission and Response Timetable

4. SUPPORTING DOCUMENTATION

a. Description of Request:

Request submitted by the NAESB Members of the Joint Interface Committee requesting complementary business practice standards to support NERC's Coordinate Interchange Business Practices Standard.

b. Description of Recommendation:

WEQ Standards Review Subcommittee

On 7/10/03, the WEQ Standards Review Subcommittee established the Coordinate Interchange Business Practices Task Force, which is charged with scoping issues and developing standards pursuant to Request No. R03013 to support the Coordinate Interchange Standards being developed by NERC.

WEQ Coordinate Interchange Business Practices Task Force

On 7/29/03, the CIBPTF began its scoping work by looking at both the work of NERC's Coordinate Interchange Standard Drafting Team and current NERC Policy 3 to identify areas within the policy that have market implications. The CIBPTF completed a scoping document that was sent out for industry comment on 8/19/03.

NAESB-NERC-IRC Joint Interface Committee

On 9/18/03 the Joint Interface Committee determined through a unanimous motion to forward Request R03013 to NAESB for development.

WEQ Coordinate Interchange Business Practices Task Force

The review of NERC Policy 3 concluded at the 10/27-28/03 Joint Meeting of the NERC Interchange Subcommittee, NERC Coordinate Interchange Standards Drafting Team, and NAESB CIBPTF.

WEQ Executive Committee

In early January 2004 the WEQ Executive Committee re-assigned the CIBP to report to the WEQ Electronic Scheduling Subcommittee, in recognition of the transition of the task force from scoping to standards drafting.



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WEQ Coordinate Interchange Business Practices Task Force

On 12/02/03 a strawman standard was begun, revised on 1/21/04, and further revised on 2/12/04.

WEQ Electronic Scheduling Subcommittee

On 2/17-18/04 the ESS considered the RFI standard and unanimously adopted the following motion:

The ESS recommends to the EC the passage of the Coordinate Interchange Business Practice Standard as presented by the CIBPTF, and modified in the February 17-18, 2004 ESS meeting. The Recommended standard shall be posted for public comment until April 2, 2004 and considered at the May EC meeting. The ESS will review public comments on this proposed standard at its scheduled April 5-7 meeting and, if necessary, make recommendations to the EC regarding any modifications to the standard arising from that review.

c. Business Purpose:

The Business Practices will provide market participants with procedures for providing any necessary data for the analysis of interchange, as defined by NERC for reliability purposes, including data format and timing of data submittals.

d. Commentary/Rationale of Subcommittee(s)/Task Force(s):

The WEQ Electronic Scheduling Subcommittee found the Request For Interchange (RFI) Standard to be consistent with the current version of NERC Policy 3, will complement NERC compliance templates associated with Policy 3, and will ultimately facilitate the implementation of the NERC Coordinate Interchange Reliability Standard currently under development as part of the NERC Standards process. The Coordinate Interchange Business Practices Task Force (CIBPTF) and WEQ Electronic Scheduling Subcommittee met on the following days and to prepare the document and recommendation and all work papers may be found on the following web pages (http://naesb.org/weq/weq_cibp.asp and http://naesb.org/weq/weq_electronic_scheduling.asp):

- | | | |
|------------------------|-----------------|--------|
| • July 29, 2003 | Conference Call | CIBPTF |
| • October 21, 2003 | Conference Call | CIBPTF |
| • October 27-28, 2003 | Meeting | CIBPTF |
| • December 2, 2003 | Meeting | CIBPTF |
| • January 21, 2004 | Meeting | CIBPTF |
| • February 17-18, 2004 | Meeting | ESS |

NAESB RFI Datasheet (Request For Interchange) Required and Correctable Data

Version 1

- A. New Requests For Interchange
 - B. Market Related Profile Modifications (Market Adjustment)
-

A. New Requests For Interchange

A **new RFI** is an RFI that has not yet been implemented or confirmed for implementation. Such RFI must be presented to those entities that are responsible for the implementation of the RFI in order that they may **evaluate** the Request and determine whether or not it can be implemented. The following information is to be used to describe such a RFI.

1. Market Information

1.1. Financial Path (Required) – the description of financially responsible parties for the transaction in order. This will typically start with a GPE and finish with a LSE, with optionally Intermediate PSE's between the two.

1.1.1. Energy Title Holder(s) (Required) – the identity of the entities financially responsible to take and/or deliver the energy as described in the physical path. This will typically start with a GPE and finish with a LSE, with optionally Intermediate PSE's between the two.

1.1.1.1. Energy Product Type (Correctable) – the type of energy delivered by the Energy Title Holder.

1.1.1.2. Contract Number(s) (Correctable) – reference to a TRANSACTION entered into by the Energy Title Holder with one or more other participants in the RFI.

1.1.1.3. Miscellaneous Information (Correctable) – information provided at the author's option regarding the RFI.

2. Physical Information

2.1. Physical Path (Required) – the description of physically scheduling parties for the transaction in order and related to the financially responsible parties described above. This will always contain a Generation segment, at least one Transmission segment, and a LOAD segment.

2.1.1. Generation (Required) – set of data describing the physical and contractual characteristics of the energy source.

2.1.1.1. Resource Service Point (Required) – the physical point at which the energy is being generated. This may vary in granularity, dependent on local business practices.

2.1.1.2. Contract Number(s) (Correctable) – reference to a schedule or agreement entered into by the Generation Providing Entity and the Generator Operator.

2.1.1.3. Miscellaneous Information (Correctable) – information provided at the author's option regarding the RFI.

2.1.1.4. Energy Profile (Required) – energy to be produced by the generator for this RAI.

2.1.2. Transmission (Required) – set of data describing the physical and contractual characteristics of a wheel (import, export, or through).

2.1.2.1 Transmission Provider (Required) – the identity of the transmission provider that is wheeling the energy.

- Point of Receipt -POR (Correctable) – valid Point of Resource for scheduled Transmission Reservation.
- Point of Delivery - POD (Correctable) – valid Point of Delivery for scheduled Transmission Reservation.
- Miscellaneous Information (Correctable) – information provided at the author’s option regarding the RFI.
- Scheduling Entity(ies) (Correctable) – entities that are physically scheduling interchange on behalf of the TRANSMISSION PROVIDER in order to provide wheeling services.
- **Loss Provision Information (Required)** (Correctable)– Information describing the manner in which losses are accounted for. Losses will either be paid back In-Kind or Financially.
- Miscellaneous Information (Correctable) – information provided at the author’s option regarding the RFI.
- **POR and POD Profiles (Required)** – schedule of Energy Flow imported at the Point of Receipt and Exported at the Point of Delivery.
- **Transmission Reservation Number(s) (Required)** (Correctable) – reference to a particular transmission reservation being used to provide transmission capacity to support the transaction being described.
 - **Transmission Product (Required)** (Correctable) – Specifies the NERC Priority.
 - **Transmission Customer (Required)** (Correctable) – identifies the entity that purchased and holds the transmission reservation being presented for use.
 - **Transmission Reservation Profile (Required)** - information describing the transmission reservation commitment associated with the TRANSMISSION PROVIDER.

2.1.3. Load (Required) – set of data describing the physical and contractual characteristics of the energy sink.

2.1.3.1. Resource Service Point (Required) – the physical point at which the energy is being consumed. This may vary in granularity, dependent on local business practices.

2.1.3.2. Contract Number(s) (Correctable) – reference to a schedule or agreement entered into by the Load Serving Entity and the Load and/or Distributor.

2.1.3.3. Miscellaneous Information (Correctable) – information provided at the author’s option regarding the RFI.

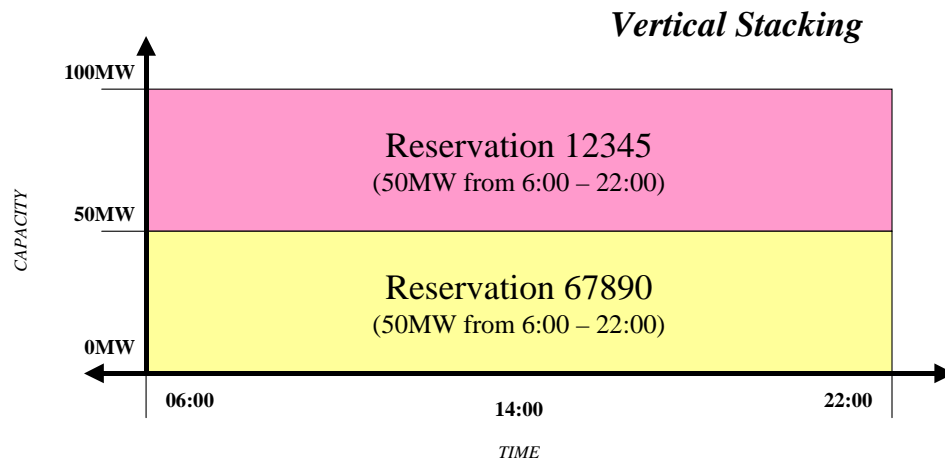
2.1.3.4. Energy Profile (Required) – energy to be consumed by the load for this RFI.

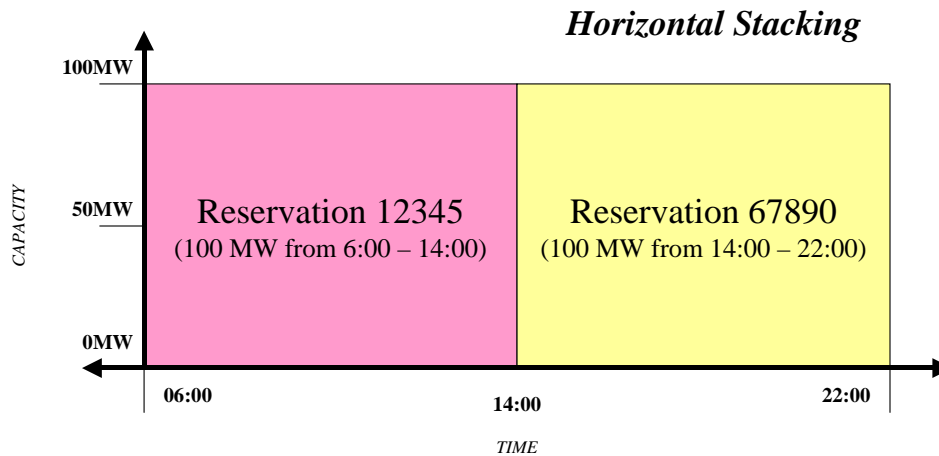
2.1.4 Using Multiple Transmission Reservations to Support a Single Leg of an Interchange Transaction

The use of multiple transmission reservations to support a single leg of an RFI is known as transmission **stacking**. There are two types of transmission stacking:

- Vertical stacking, in which a Transmission Customer combines multiple reservations to achieve a certain net level of transmission capacity, and
- Horizontal stacking, in which a Transmission Customer combines multiple reservations to achieve a certain transmission capacity coverage over time.

The following diagrams illustrate these concepts more fully. In both cases, the assumed need is 100 MW of transmission capacity for hours 06:00 through 22:00.





Should a customer elect to utilize stacking, including any combination of the two stacking types, to support their RFI, they must understand the following requirements:

- Stacks **MUST** be described through fully qualified profiles for each reservation being used
- At no point may the coverage described by the stack be less than the transmission capacity needed for the RAI's energy flow

B. Market-Related Profile Modifications (Market Adjustment)

Profile Modifications are changes to a RFI's energy profile based on market desires. Such modifications must be presented to those entities that are responsible for the implementation of the modification in order that they may **evaluate** the RFI and determine whether or not the modification can be implemented. The following information must be used to describe such a modification.

- The RFI being modified
- All necessary profile changes to set the transmission capacity or energy flow to the desired levels during the appropriate hours (including the specification of new reservations to support the request, if necessary), and
- Contact information for the person that initiated the modification.

NAESB RFI Submission and Response Timetable

Document Subsections

- A. Eastern Interconnection – New Transactions
 - B. Western Interconnection – New Transactions
 - C. Interchange Transaction Corrections
 - D. Interchange Transaction Modifications
-

A. Eastern Interconnection – New Transactions

The table below represents the recommended business practices for RFI submission to the IA deadlines within the Eastern Interconnection. These are default requirements; some regulatory or provincially approved provider practices may have requirements that are more stringent. Under these instances, the more restrictive criteria shall be adhered to.

Table 1: Eastern Interconnection – Timing Requirements

Transaction Duration	PSE Submit Deadline*	Actual RFI Submission Time	Approval Entity Assessment Time	Time to Start of Transaction
Less than 24 Hours	20 Minutes prior to start	≤1 Hour prior to start	≤ 10 Minutes from tag receipt	≥ 10 Min
		>1 to <4 hours prior to start	≤20 Minutes from tag receipt	≥ 40 Min
		≥ 4 Hours prior to start	≤ 2 Hours from tag receipt	≥ 2 Hours
24 Hours or longer	4 Hours prior to start	Any	≤ 2 Hours from tag receipt	≥ 2 Hours
*Start time references are for start of the TRANSACTION not the start of the ramp.				

B. Western Interconnection – New Transactions

B. Western Interconnection – New Transactions

The tables below represent the recommended business practices for RFI submission deadlines to the IA within the Western Interconnection. These are default requirements. The tables describe the various minimum submission and assessment timing requirements.

Table 2: Western Interconnection – Timing Requirements

Transaction Start/Submittal Time	PSE Submit Deadline	Actual RFI Submission Time*	Approval Entity Assessment Time	Approval/Denial Notes	Time to Start of Transaction*
Start 00:00 next day or beyond when submitted prior to 18:00 of the current day	15:00 day prior to start	Any	3 hours	Passive Approval if submitted before deadline, else Passive Denial. Deferred denial	≥ 6 Hours
Start 00:00 next day and submitted between 18:00 and 23:59:59 on day prior to start – OR – start within current day		≥ 4 Hours prior to start	2 Hours from RFI receipt	Passive Approval Deferred denial	≥ 2 Hours
		<4 Hours to ≥1 Hour prior to start	20 minutes from RFI receipt	Passive Approval Deferred denial	≥ 40 Min
		<1 hour to ≥30 minutes prior to start	10 minutes from RFI receipt	Passive Approval Deferred denial	≥ 20 Min
		<30 minutes to ≥20 minutes prior to start	10 minutes from RFI receipt	Passive Approval Deferred denial	≥ 10 Min
	20 minutes prior to start	<20 minutes prior to start	5 minutes from RFI receipt	Passive Denial. Deferred denial	Submission time minus maximum time of 5 minutes

B. Western Interconnection – New Transactions

Notes/Clarification:

1. All clock times are in PPT.
2. RFI falling under the criteria in yellow are deemed pre-schedule requests.
3. RFI falling under the criteria in green are deemed real-time requests.
4. Pre-schedule requests submitted between 15:00 and 18:00 will be assigned LATE composite status.
5. Real-time requests submitted after 20 minutes prior to the start of the Transaction will be assigned LATE composite status.

*Start-time references are for start of the Transaction, not the start of the ramp.

C. Interchange Transaction Corrections

TRANSACTION Corrections may be provided by the PSE to the IA to replace non-reliability data listed in a RFI. As each correction is received, the Evaluation Time of the TRANSACTION will extend, based on the following rules:

- Each correction shall extend the evaluation time by ten minutes
- At no time can the evaluation time be extended past the start time of the TRANSACTION.
- Each correction shall reset the approval status of those entities affected by the correction
- The segment or segments corrected will be eligible for passive approval if the correction is received within the timelines specified below, except in the case where the TRANSACTION has already been set for passive denial. The segment or segments corrected will be subject to passive denial if the correction is not received within the timelines specified below. At no point may a TRANSACTION segment already under Passive Denial constraints be returned to Passive Approval eligibility.

Table 3: Correction Submission Requirements*

Eastern Interconnection	Western Interconnection
20 minutes prior to start	30 minutes prior to start
*Start time references are for start of the Transaction not the start of the ramp.	

D. Interchange Transaction Modifications

Market-initiated modifications, and other TRANSACTION modifications that affect energy profiles must be received by and evaluated within certain times. The following tables describe the submission and evaluation requirements for such changes.

Modification requests received by the deadlines specified below shall be considered “on time,” and are eligible for active Approval. Modification requests received past the deadlines shall be considered “late,” and are considered denied unless explicitly approved by all parties.

Table 4: Eastern Interconnection – Modifications

Modification Type	Requestor Submission Deadline***	Actual Submission Time***	Evaluation Time
Reliability (Curtailments or Reloads)	20 minutes prior to modification start**	Less than 30 minutes to start	10 minutes
		30 minutes or more prior to start	15 minutes
Market – Committed Transmission Reservation(s) Reductions	N/A	N/A	N/A
Market – Committed Transmission Reservation(s) Increases, Energy Reductions, Energy Increases*	20 minutes prior to modification start**	Less than 30 minutes to start	10 minutes
		30 minutes or more prior to start	15 minutes
***Start time references are for start of the Transaction not the start of the ramp.			

Table 5: Western Interconnection – Modifications

Modification Type	Requestor Submission Deadline***	Actual Submission Time***	Evaluation Time
Reliability (Curtailments or Reloads)	25 minutes prior to modification start**	Less than 30 minutes to start	10 minutes
		30 minutes or more prior to start	15 minutes
Market – Committed Transmission Reservation(s) Reductions	N/A	N/A	N/A
Market – Committed Transmission Reservation(s) Increases, Energy Reductions, Energy Increases*	25 minutes prior to modification start**	Less than 30 minutes to start	10 minutes
		30 minutes or more prior to start	15 minutes
***Start time references are for start of the Transaction not the start of the ramp.			

*See Special Exception for Cancellations below

**If received after deadline, requires active approval or will be passively denied

D. Interchange Transaction Modifications

Special Exception for Cancellations

A cancellation is defined as setting both committed transmission reservation(s) and energy flow to zero for the duration of the TRANSACTION **prior** to the start of a TRANSACTION but **following** that TRANSACTIONS approval. In the event that a PSE elects to cancel a TRANSACTION, the following timelines should be utilized:

Table 6: Special Exception for Cancellations Submission and Evaluation Timing

Region	Submission Deadline*	Evaluation Time
Eastern Interconnection	15 minutes prior to transaction start	If received by deadline, no evaluation required. Request is automatically approved.
		If not received by deadline, request is not eligible for Special Exception for Cancellations, and must be processed normally.
Western Interconnection	20 minutes prior to transaction start	If received by deadline, no evaluation required. Request is automatically approved.
		If not by deadline, request is not eligible for Special Exception for Cancellations, and must be processed normally.
*Start time references are for start of the Transaction not the start of the ramp.		